

www.gtc-bio.com



GTC Biotherapeutics, Inc.
175 Crossing Boulevard
Framingham, MA 01702 USA
Tel: 508.620.9700 • Fax: 508.370.3797

RECEIVED

JUN 26 2003

FAX**TECH CENTER 1600/2900**

To: Ms. Dianne Maggard From: Byron V. Olsen, Esq.

Company: USPTO - Board of Patent Appeals and Interferences Pages: 11

Fax: (703) 308-6200 Date: June 24, 2003

☒ Urgent ☐ For Review ☐ Please Comment ☐ Please Reply

Dear Ms. Maggard:

Pursuant to our recent conversation, for your records attached please find two different sets of Revocation of Power of Attorney documents (and related attachments) as filed with the USPTO on June 20, 2003, pertaining to U.S. Application Serial Nos. 07/938,322 and 07/839,194.

Thank you for your attention to this matter.

Regards,

A handwritten signature in black ink, appearing to read "Byron V. Olsen".

Byron V. Olsen, Registration No. 42,960
Associate General Counsel, Intellectual Property

JUN 24 2003
BOARD OF PATENT
APPEALS &
INTERFERENCES

NOTICE OF CONFIDENTIALITY: This transmission is intended only for the addressee(s) listed above, and may contain information that is confidential and privileged. If you are not the addressee, any use, disclosure, copying or communication of the contents of this transmission may be subject to legal restrictions or sanctions. If this message was received in error, please telephone GTC Biotherapeutics immediately at the telephone number above, and we will arrange for the return to us of this message at no cost to you.

U.S. PATENT OFFICE FILING

Date Mailed: June 20, 2003

Attorney Docket No.: GTC-3D3

Applicant: Gordon et al.

Serial No.: 07/839,194

Attorney:

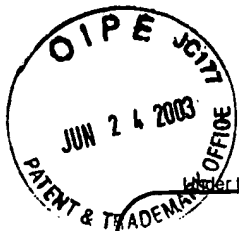
Byron Olsen

Filing Date:

2/20/92

Title of Invention: **TRANSGENIC ANIMALS SECRETING DESIRED PROTEINS
INTO MILK**☒ Certificate of Mailing☐ Express Mail Label No. _____**THE U.S. PATENT & TRADEMARK OFFICE ACKNOWLEDGES RECEIPT OF:**

- | | |
|--|--|
| <input type="checkbox"/> Provisional Application for Patent Cover Sheet | <input type="checkbox"/> Response to Missing Parts |
| <input type="checkbox"/> Utility Patent Application Transmittal | <input type="checkbox"/> Amendment/Reply |
| <input type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission |
| <input type="checkbox"/> _____ pages Specification | <input type="checkbox"/> Extension of Time Request |
| <input type="checkbox"/> _____ sheets Drawings | <input type="checkbox"/> Notice of Appeal |
| <input type="checkbox"/> Declaration and Power of Attorney | <input type="checkbox"/> Appeal Brief |
| <input type="checkbox"/> Assignment Papers | <input type="checkbox"/> Issue Fee Transmittal |
| <input type="checkbox"/> Non Publication Request (PTO/SB/35) | |
| <input type="checkbox"/> Information Disclosure Statement (PTO-1449) | |
| <input type="checkbox"/> Copies of IDS Citations | |
| <input checked="" type="checkbox"/> Other: <u>Revocation of Power of Attorney or Authorization of Agent; Power of Attorney or Authorization of Agent; Statement Under 37 CFR 3.73(b)</u> | |



1630

PTO/SB/21 (05-03)

Approved for use through 04/30/2003. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| | | | |
|---|----------------------|------------------------|---------|
| TRANSMITTAL FORM (to be used for all correspondence after initial filing) | Application Number | 07/839,194 | |
| | Filing Date | 2/20/92 | |
| | First Named Inventor | Katherine Gordon | |
| | Art Unit | 1630 | |
| | Examiner Name | D. Crouch | |
| Total Number of Pages in This Submission | 4 | Attorney Docket Number | GTC-3D3 |

RECEIVED
JUN 26 2003
TECH CENTER 1600/2900

| ENCLOSURES (Check all that apply) | | |
|--|---|--|
| <input type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> After Allowance communication to Group |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment/Reply | <input type="checkbox"/> Petition | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Terminal Disclaimer | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Request for Refund | Statement Under 37 CFR 3.73(b); |
| <input type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> CD, Number of CD(s) _____ | Postcard |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | Remarks | |
| <input type="checkbox"/> Response to Missing Parts/Incomplete Application | | |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | | |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | |
|-------------------------|--------------------------------------|
| Firm or Individual name | Byron V. Olsen |
| Signature | <i>Byron V. Olsen</i> Reg. No 42,960 |
| Date | 6/20/03 |

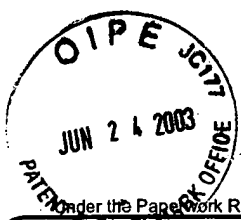
CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

| | |
|-----------------------|----------------------|
| Typed or printed name | Kristin Gould |
| Signature | <i>Kristin Gould</i> |
| Date | 6/20/03 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



PTO/SB/82 (05-03)

Approved for use through 11/30/2005. OMB 0651-0035

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**REVOCATION OF POWER OF
ATTORNEY OR
AUTHORIZATION OF AGENT**

| | |
|-------------------------------|------------------|
| Application Number | 07/839,194 |
| Filing Date | 2/20/92 |
| First Named Inventor | Katherine Gordon |
| Art Unit | 1630 |
| Examiner Name | D. Crouch |
| Attorney Docket Number | GTC-3D3 |

I hereby revoke all previous powers of attorney or authorizations of agent given in the above-identified application:

☒ A power of Attorney or Authorization of Agent is submitted herewith.

OR

☐ Please change the correspondence address for the above-identified application to:☐ Customer NumberPlace Customer
Number Bar Code
Label here

OR

| | | | | | |
|--|--|-------|--|-----|--|
| <input type="checkbox"/> Firm or Individual Name | | | | | |
| Address | | | | | |
| Address | | | | | |
| City | | | | | |
| Country | | State | | Zip | |
| Telephone | | Fax | | | |

I am the:

☐ Applicant/Inventor.☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)**SIGNATURE of Applicant or Assignee of Record**

| | | | |
|-----------|---------------------|-----------|--------------|
| Name | Thomas J. DesRosier | | |
| Signature | | | |
| Date | June 10, 2003 | Telephone | 508-270-2555 |

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☐ *Total of _____ forms are submitted.

This collection of information is required by 37 CFR 1.36. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



Please type a plus sign (+) inside this box → ☐

PTO/SB/81 (02-01)

Approved for use through 10/31/2002. OMB 0651-0035

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

POWER OF ATTORNEY OR AUTHORIZATION OF AGENT

| | |
|------------------------|--|
| Application Number | 07/839,194 |
| Filing Date | 2/20/92 |
| First Named Inventor | Katherine Gordon |
| Title | Transgenic Animals Secreting Desired Proteins into Milk |
| Group Art Unit | 1630 |
| Examiner Name | D. Crouch |
| Attorney Docket Number | GTC-3D3 |

I hereby appoint:

☒ Practitioners at Customer Number

31904

Place Customer
Number Bar Code
Label here

OR

☐ Practitioner(s) named below:

| Name | Registration Number |
|------|---------------------|
| | |
| | |
| | |
| | |

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please change the correspondence address for the above-identified application to:

☒ The above-mentioned Customer Number.

OR

☐ Practitioners at Customer Number

Place Customer
Number Bar Code
Label here

☐ Firm or
Individual Name

Address

Address

City

State

Zip

Country

Telephone

Fax

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

Name

Thomas J. DesRosier

Signature

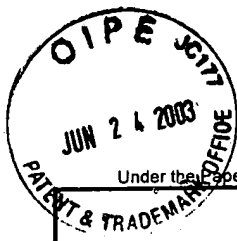
Date

June 10, 2003

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☐ *Total of _____ forms are submitted.

Burden Hour Statement: This form is estimated to take 3 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/~~Patent Owner~~ Katherine Gordon et al.

Application No. Patent No. 07/839,194 Filed/~~Issue Date~~: 2/20/92

Entitled: Transgenic Animals Secreting Desired Proteins into Milk

Genzyme Corporation, a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by percentage) of its ownership interest is _____%
in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

June 10, 2003

Thomas J. DesRosier

Date

508-270-2555

Telephone number

Typed or printed name

Signature

Sr. VP, General Counsel, Chief Patent
Title Counsel

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

RECEIVED

JUN 26 2003

TECH CENTER 1600/2900